



Pramerica

MUTUAL FUND

PGIM India Asset Management Private Limited
(erstwhile known as DHFL Pramerica Asset Managers Private Limited)

2nd Floor, Nirlon House, Dr. A.B. Road, Worli, Mumbai - 400 030.

Tel: +91 22 6159 3000; Fax: +91 22 6159 3100; CIN: U74900MH2008FTC187029

Toll Free No.: 1800 266 2667; Website: www.dhflpramericamf.com

NOTICE [No. 17 of 2019-20]

NOTICE CUM ADDENDUM TO THE SCHEME INFORMATION DOCUMENTS ('SIDs')/KEY INFORMATION MEMORANDUMS ('KIMs') OF THE SCHEMES ('THE SCHEMES') OF DHFL PRAMERICA MUTUAL FUND ('FUND')

I. Restriction on Investment by United States Person and Residents of Canada in the Schemes of the Fund

NOTICE is hereby given that, PGIM India Asset Management Private Limited ('the AMC') (erstwhile known as DHFL Pramerica Asset Managers Pvt. Ltd.), has decided to make changes to the list of persons stated under the heading "**the following persons cannot invest in the Scheme**" under the provision titled 'Who Can Invest?' in the SIDs of the Schemes of the Fund. Accordingly, following persons are added to the list of the persons who cannot invest in the Schemes of the Fund:

1. United States Person (U.S. person) as defined under the extant laws of the United States of America;
2. Residents of Canada;

In view of the above, the above mentioned persons will not be permitted to undertake the following transactions in the units of any of the Schemes of the Fund with effect from August 1, 2019 ('Effective Date'):

- a. Fresh purchases/additional purchases/switches in any Schemes of the Fund. However, existing Unit holder(s) are permitted to redeem the units from the Schemes of the Fund in accordance with the terms and conditions stated in the respective SID.
- b. All existing registered systematic investment facilities such as Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), DHFL Pramerica Dynamic Advantage Asset Allocation Facility, would cease and stand cancelled from the Effective Date. Further, all existing registered Systematic Withdrawal Plans will continue to be processed.
- c. Existing registrations for Dividend Sweep Facility will be converted to Dividend Payout option from the Effective Date. In case the existing unitholders have opted for reinvestment of dividend the same will be converted to dividend payout option from the effective date, except for daily dividend option.
- d. For existing Unit holder subsequently becoming a U.S. person or Resident of Canada, fresh purchases/additional purchases/switches in any Schemes of the Fund will not be permitted. It shall be the obligation of the Unitholder to notify such change in his/her/its status to the AMC/Mutual Fund.
- e. For any subscription/switch transaction received through the Stock Exchange platform, if the investor is a U.S. Person/Resident of Canada then the transaction would be rejected from the Effective Date.
- f. In case of joint holding, the restriction shall apply if any one of the joint holder(s) is a U.S. Person/Resident of Canada.
- g. In the absence of written notice to the Mutual Fund/AMC to the contrary, if an investor provides a non-U.S. Canada address on the application form for investment in the schemes, this will be deemed to be a representation and warranty from such investor that he/she/it is not a U.S. Person/Canada Resident and that such investor will continue to be deemed as a non-U.S. Person/Canada Resident for the purpose of investment in the schemes of the Fund unless and until the Fund/AMC are otherwise notified of a change in the investor's U.S. Person/Canada Resident status.

For transactions undertaken after the Effective Date, in case the Fund/(AMC) identifies that the subscription amount has been received from said person, the AMC/Fund retains the right at its discretion, to reverse the allotment or redeem all the units held by such person from the Scheme at applicable Net Asset Value, subject to load. In such cases, the Mutual Fund or the AMC or the Trustee, or any of its directors, employees or agents shall not be liable or responsible, in any manner whatsoever, for any losses, liabilities, damages, costs, charges, expenses which the Unitholder(s) may sustain, incur or suffer or be put to or become liable or incur, directly or indirectly, by reason or as a consequence of such redemption(s).

The Trustee/AMC reserves the right to change/modify the provisions mentioned above at a later date.

II. Change in the Feeder Fund under DHFL Pramerica Dynamic Advantage Asset Allocation Facility

Investors are requested to note the following change in the Facility with effect from August 01, 2019 ('Effective Date'):

DHFL Pramerica Ultra Short Term Fund shall cease to be the Feeder Fund and DHFL Pramerica Insta Cash Fund shall be the new Feeder Fund under DHFL Pramerica Dynamic Advantage Asset Allocation Facility ('the Facility') with effect from August 01, 2019 ('the Effective Date'). Further, after the Effective Date any future systematic investments or transfers into DHFL Pramerica Ultra Short Term Fund under the Facility shall be processed under DHFL Pramerica Insta Cash Fund for the investors to continue with the Facility.

All other terms and conditions of the above Facility shall remain unchanged.

The AMC/Trustee reserves the right to change/modify the terms and conditions or withdraw the facility at a later date.

III. Suspension of subscriptions under DHFL Pramerica Ultra Short Term Fund

Investors are requested to note that PGIM India Trustees Private Limited (erstwhile known as DHFL Pramerica Trustees Private Limited), Trustee to DHFL Pramerica Mutual Fund, keeping in mind the interest of investors/prospective investors have decided to suspend fresh/additional subscription through any investment mode such as lumpsum investment, switches, existing and/or fresh enrolment of facilities, such as Systematic Investment Plan ("SIP"), Systematic Transfer Plan ("STP") (as a Target scheme), Dynamic Advantage Asset Allocation Facility, Age-linked Investment Asset Allocation Facility under DHFL Pramerica Ultra Short Term Fund., with effect from August 01, 2019 ('Effective Date').

The aforesaid suspension will continue till further notice.

This Notice-cum-Addendum forms an integral part of SIDs and KIMs of the Schemes of the Fund, as amended from time to time. All the other terms and conditions of SIDs and KIMs of the Schemes of the Fund will remain unchanged.

For PGIM India Asset Management Private Limited
(erstwhile known as DHFL Pramerica Asset Managers Private Limited)
(Investment Manager for DHFL Pramerica Mutual Fund)

Place : Mumbai

Date : July 31, 2019

Sd/-

Authorized Signatory

**MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS,
READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.**